

LPBANK SECURITIES JOINT STOCK COMPANY

3rd Floor, Office Building, 43-45-47 Nguyen Thi Minh Khai Street, Saigon Ward,
Ho Chi Minh City, Vietnam

TABLE OF CONTENTS

<u>CONTENTS</u>	<u>PAGE</u>
REPORT OF THE BOARD OF GENERAL DIRECTORS	2 - 4
INDEPENDENT AUDITOR'S REPORT	5 - 6
REPORT ON CONTRIBUTED CHARTER CAPITAL FOR THE PERIOD FROM JANUARY 1, 2016 TO DECEMBER 31, 2025.	7
NOTES TO THE REPORT ON CONTRIBUTED CHARTER CAPITAL FOR THE PERIOD FROM JANUARY 1, 2016 TO DECEMBER 31, 2025.	8 - 14
<u>APPENDICES TO THE REPORT</u>	
APPENDIX 01	15
APPENDIX 02	16
APPENDIX 03	17 - 20
APPENDIX 04	21 - 38
APPENDIX 05	39 - 42

LPBANK SECURITIES JOINT STOCK COMPANY

3rd Floor, Office Building, No. 43-45-47 Nguyen Thi Minh Khai Street, Saigon Ward,
Ho Chi Minh City, Vietnam

REPORT OF THE BOARD OF GENERAL DIRECTORS

The Board of General Directors of LPBank Securities Joint Stock Company (hereinafter referred to as the "Company") hereby submits this Report together with the audited Report on Contributed Charter Capital for the period from January 1, 2016 to December 31, 2025 of the Company (hereinafter referred to as the "Report on Contributed Charter Capital").

BOARD OF DIRECTORS AND BOARD OF GENERAL DIRECTORS

The members of the Board of Directors and the Board of General Directors of the Company as at the date of this Report on Contributed Charter Capital are as follows:

Board of Directors

Full name	Company	Date of appointment/dismissal
Mr. Nguyen Duy Khoa	Chairman	Appointed on February 12, 2025
Ms. Vu Thanh Hue	Vice Chairwoman	Appointed on December 9, 2023
Ms. Du Thi Hai Yen	Member	Appointed on April 26, 2024
Ms. Pham Thu Hang	Member	Appointed on April 26, 2024
Ms. Nguyen Thi Kieu Anh	Member	Dismissed on December 8, 2025
Ms. Tran Thi Thu Huong	Member	Appointed on December 8, 2025
Mr. Hoang Duy Hien	Member	Appointed on December 26, 2024

Board of General Directors and Chief Accountant

Full name	Position	Date of appointment/dismissal
Mr. Nguyen Duy Khoa	General Director	Dismissed on January 22, 2026
Mr. Hoang Viet Anh	General Director	Appointed on January 22, 2026
	Deputy General Director	From May 5, 2025 to January 21, 2026
Mr. Hoang Cong Nguyen Vu	Deputy General Director	Dismissed on March 16, 2025
Mr. Hoang Cong Nguyen Vu	Chief Executive Director of the Operations Division	Appointed on July 1, 2025
Ms. Nguyen Thi Ngan	Chief Accountant	Appointed on October 28, 2025

Board of Supervisors

Full name	Position	Date of appointment/dismissal
Mr. Bui Le Quang	Head of the Board of Supervisors	Appointed on April 26, 2024
Ms. Bui Thi Thanh Nhan	Member	Appointed on April 26, 2023
Mr. Nguyen Bao Lam	Member	Appointed on April 26, 2024

AUDITOR

The accompanying Report on Contributed Charter Capital for the period from January 1, 2016 to December 31, 2025 has been audited by UHY Auditing and Consulting Company Limited.

LPBANK SECURITIES JOINT STOCK COMPANY

3rd Floor, Office Building, No. 43-45-47 Nguyen Thi Minh Khai Street, Saigon Ward,
Ho Chi Minh City, Vietnam

REPORT OF THE BOARD OF GENERAL DIRECTORS (CONTINUED)**LEGAL REPRESENTATIVE**

The legal representative of the Company as at the date of this Report on Contributed Charter Capital is Mr. Nguyen Duy Khoa - Chairman of the Board of Directors.

Mr. Nguyen Duy Khoa has authorized Mr. Hoang Viet Anh - General Director, to sign this Report under the Power of Attorney No. 05/2026/UQ-LPBS dated January 22, 2026.

RESPONSIBILITIES OF THE BOARD OF GENERAL DIRECTORS

The Board of General Directors of the Company is responsible for the preparation and presentation of the Report on Contributed Charter Capital for the period from January 1, 2016 to December 31, 2025, and is also responsible for the completeness, accuracy, truthfulness, and fairness of the information and data presented therein, including: The balance as at January 1, 2016, the process of charter capital increases, the balance as at December 31, 2025, and the Notes to the Report on Contributed Charter Capital for the period from January 1, 2016 to December 31, 2025.

In preparing the Report on Contributed Charter Capital for the period from January 1, 2016 to December 31, 2025, the Board of General Directors confirms that it has complied with the applicable accounting standards, accounting system, and relevant prevailing regulations governing the preparation and presentation of the Report on Contributed Charter Capital for the period from January 1, 2016 to December 31, 2025. The Board of General Directors is required to:

- Select appropriate accounting policies and apply them consistently;
- Make judgments and estimates in a reasonable and prudent manner;
- State whether applicable accounting principles have been complied with and disclose and explain any material differences in the Report on Contributed Charter Capital;
- Prepare the Report on Contributed Charter Capital in accordance with the prevailing Vietnamese Accounting Standards and Vietnamese Accounting System applicable to securities companies, together with the relevant legal regulations governing the preparation and presentation of the Report on Contributed Charter Capital;
- Design and implement an effective internal control system for the preparation and presentation of the Report on Contributed Charter Capital so as to minimize the risks of errors and fraud;
- Prepare the Report on Contributed Charter Capital on a going concern basis unless it is inappropriate to presume that the Company will continue its business operations.

The Board of General Directors is also responsible for ensuring that the accounting records are properly maintained so as to reflect fairly the Company's contributed charter capital at any time and for ensuring that the charter capital increases during the period from January 1, 2016 to December 31, 2025 comply with the prevailing legal regulations governing charter capital increases. The Board of General Directors is also responsible for implementing appropriate measures to prevent and detect fraud and other irregularities.

LPBANK SECURITIES JOINT STOCK COMPANY

3rd Floor, Office Building, No. 43-45-47 Nguyen Thi Minh Khai Street, Saigon Ward,
Ho Chi Minh City, Vietnam

REPORT OF THE BOARD OF GENERAL DIRECTORS (CONTINUED)

RESPONSIBILITIES OF THE BOARD OF GENERAL DIRECTORS (CONTINUED)

The Board of General Directors confirms that the Report on Contributed Charter Capital for the period from January 1, 2016 to December 31, 2025 has been properly prepared and presents fairly and accurately the status of the owners' contributed charter capital of the Company in accordance with the prevailing Vietnamese Accounting Standards and Vietnamese Accounting System applicable to securities companies, and complies with the relevant prevailing regulations.

For and on behalf of the Board of General Directors,

(Signed and Sealed)

LPBANK SECURITIES JOINT STOCK COMPANY LICENSE NO.: 72 – JSC – SAIGON WARD – HO CHI MINH CITY

Hoang Viet Anh

General Director

Ho Chi Minh City, March 20, 2026

No.: 247/2026/UHY - BCKT

INDEPENDENT AUDITOR'S REPORT (AMENDED)

on the Report on Contributed Charter Capital for the period from January 1, 2016 to December 31, 2025 of LPBank Securities Joint Stock Company

To: The Shareholders, the Board of Directors and the Board of General Directors of LPBank Securities Joint Stock Company

We have audited the Report on Contributed Charter Capital for the period from January 1, 2016 to December 31, 2025 of LPBank Securities Joint Stock Company (hereinafter referred to as the "Company"), dated March 20, 2026, comprising pages 7 to 14. The Report on Contributed Charter Capital for the period from January 1, 2016 to December 31, 2025 (hereinafter referred to as the "Report on Contributed Charter Capital") comprises the Report on Contributed Charter Capital and the Notes to the Report on Contributed Charter Capital. The Report on Contributed Charter Capital has been prepared by the Company's Board of General Directors in accordance with the prevailing Vietnamese Accounting Standards and Vietnamese Accounting System applicable to securities companies, and the relevant legal regulations governing the preparation and presentation of the Report on Contributed Charter Capital.

Responsibilities of the Board of General Directors

As presented in the Report of the Board of General Directors on pages 2 to 4, the Board of General Directors is responsible for the preparation and fair presentation of the Report on Contributed Charter Capital and for such internal control as the Board of General Directors determines is necessary to enable the preparation and presentation of the Report on Contributed Charter Capital that is free from material misstatement, whether due to fraud or error.

Auditor's Responsibilities

Our responsibility is to express an opinion on the Report on Contributed Charter Capital based on our audit. We conducted our audit in accordance with the Vietnamese Standards on Auditing. Those standards require us to comply with ethical requirements and plan and perform the audit to obtain reasonable assurance about whether the Report on Contributed Charter Capital is free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the Report on Contributed Charter Capital. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the Report on Contributed Charter Capital, whether due to fraud or error. In making those risk assessments, the auditor considers the Company's internal control relevant to the preparation of the Report on Contributed Charter Capital in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Company's internal control. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of accounting estimates made by the Board of General Directors, as well as evaluating the overall presentation of the Report on Contributed Charter Capital.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

INDEPENDENT AUDITOR'S REPORT (AMENDED) (CONTINUED)

Auditor's Opinion

In our opinion, the Report on Contributed Charter Capital of LPBank Securities Joint Stock Company for the period from January 1, 2016 to December 31, 2025 has been prepared and presented, in all material respects, to give a true and fair view of the Company's contributed charter capital for the period from January 1, 2016 to December 31, 2025, in accordance with the prevailing Vietnamese Accounting Standards and Vietnamese Accounting System applicable to securities companies, and the relevant legal regulations governing the preparation and presentation of the Report on Contributed Charter Capital.

Emphasis of Matter

Without qualifying our opinion, we draw users' attention to Note 2 – Purpose of Preparation of the Report. The Report on Contributed Charter Capital for the period from January 1, 2016 to December 31, 2025 of LPBank Securities Joint Stock Company has been prepared solely for the purpose of completing the application dossier for the Company's initial public offering of shares, the application dossier for listing, and satisfying the requirements of the competent State authorities in accordance with the prevailing laws.

his matter does not affect the audit opinion expressed above.

Other Matter

This amended Auditor's Report has been issued to supersede Auditor's Report No. 34/2026/UHY-BCKT dated January 26, 2026 on the Report on Contributed Charter Capital for the period from January 1, 2016 to December 31, 2025 of LPBank Securities Joint Stock Company, for the purpose of updating: *The shareholder information in Appendix 05 – Details of owners' contributed capital as at December 31, 2025 in the Appendices to the Report on Contributed Charter Capital; and the issuance date of Resolution No. 18/2024/NQ-LPBS of the Board of Directors of LPBank Securities Joint Stock Company - In Note 7.2.a Notes to the Report on Contributed Charter Capital.* Except for the two matters described above, all other contents remain unchanged from Auditor's Report No. 34/2026/UHY-BCKT dated January 26, 2026.

(Signed and Sealed)

UHY AUDITING AND CONSULTING COMPANY LIMITED ENTERPRISE REGISTRATION NO.: 0102021062 – HANOI CITY

(Signed and full name)

Pham Gia Dat

Deputy General Director

Audit Practicing Registration Certificate No.:

0798-2023-112-1

For and on behalf of

UHY AUDITING AND CONSULTING COMPANY LIMITED

Hanoi, March 20, 2026

Nguyen Van Hai

Auditor

Audit Practicing Registration Certificate

No.: 1395-2023-112-1

LPBANK SECURITIES JOINT STOCK COMPANY3rd Floor, Office Building, No. 43-45-47 Nguyen Thi Minh Khai Street, Saigon Ward, Ho Chi Minh City, Vietnam**REPORT ON CONTRIBUTED CHARTER CAPITAL FOR THE PERIOD FROM JANUARY 1, 2016 TO DECEMBER 31, 2025****REPORT ON CONTRIBUTED CHARTER CAPITAL***(For the period from January 1, 2016 to December 31, 2025)**Unit: VND*

No.	Date	Content	Note	Number of shares	Contributed capital (at par value)	Share premium	Total	Contributed capital after increase
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
1	January 1, 2016	Opening balance	6	12,500,000	125,000,000,000		125,000,000,000	125,000,000,000
2		Capital increase during the reporting period	7	1,254,300,000	12,543,000,000,000		12,543,000,000,000	12,668,000,000,000
	July 25, 2016	Capital increase	7.1	12,500,000	125,000,000,000		125,000,000,000	250,000,000,000
	May 15, 2024	Capital increase	7.2	363,800,000	3,638,000,000,000		3,638,000,000,000	3,888,000,000,000
	November 14, 2025	Capital increase	7.3	878,000,000	8,780,000,000,000		8,780,000,000,000	12,668,000,000,000
3	December 31, 2025	Closing balance	8	1,266,800,000	12,668,000,000,000		12,668,000,000,000	12,668,000,000,000

*Ho Chi Minh City, March 20, 2026***Prepared by**
*(Signed and full name)***Chief Accountant**
*(Signed and full name)***General Director**
(Signed and Sealed)

LPBANK SECURITIES JOINT STOCK
COMPANY
LICENSE NO.: 72 – JSC – SAIGON
WARD – HO CHI MINH CITY

Tran Nhat Duy**Nguyen Thi Ngan****Hoang Viet Anh**

LPBANK SECURITIES JOINT STOCK COMPANY

3rd Floor, Office Building, No. 43-45-47 Nguyen Thi Minh Khai Street, Saigon Ward,
Ho Chi Minh City, Vietnam

**NOTES TO THE REPORT ON CONTRIBUTED CHARTER CAPITAL FOR THE PERIOD
FROM JANUARY 1, 2016 TO DECEMBER 31, 2025****1. GENERAL INFORMATION****1.1 Form of capital ownership**

LPBank Securities Joint Stock Company (formerly Lien Viet Securities Joint Stock Company, which was renamed from Viettranimex Securities Joint Stock Company) was established and operates in Vietnam under License No. 104/UBCK-GP issued by the State Securities Commission of Vietnam on February 12, 2009, and Enterprise Registration Certificate No. 0309312029 issued by the Ho Chi Minh City Department of Planning and Investment (now the Ho Chi Minh City Department of Finance) on February 12, 2009.

During the course of its operations, the Company has obtained 17 amendments to its licenses. The latest amendments comprise the Amended License for Establishment and Operation of Securities Business No. 118/GPDC-UBCK, issued by the State Securities Commission of Vietnam on October 29, 2025, and the 20th amended Enterprise Registration Certificate No. 0309312029, issued by the Ho Chi Minh City Department of Finance on November 14, 2025.

As at January 1, 2016, the Company's head office was located at 2nd Floor, Him Lam Building, No. 234 Ngo Tat To, Ward 22, Binh Thanh District, Ho Chi Minh City.

As at the date of this Report, the Company's head office is located at 3rd Floor, Office Building, No. 43–45–47 Nguyen Thi Minh Khai Street, Saigon Ward, Ho Chi Minh City, Vietnam.

1.2 Principal business activities

The Company operates in the securities sector.

The Company's principal activities include securities brokerage; financial advisory and securities investment advisory services; proprietary trading; securities underwriting; securities depository services; and other business activities as permitted by the laws applicable to securities companies.

1.3 Corporate Structure

As at January 1, 2016, the Company had 29 employees.

As at January 1, 2016, the Company had 01 branch: Hanoi Branch.

As at December 31, 2025, the Company had 314 employees.

As at the date of this Report, the Company has 03 branches: Hanoi Branch, Da Nang Branch, and Cat Linh Branch.

2. PURPOSE OF THE REPORT

The Report on Contributed Charter Capital for the period from January 1, 2016 to December 31, 2025 of LPBank Securities Joint Stock Company has been prepared for the purpose of completing the application dossier for the Company's initial public offering of shares, the application dossier for listing, and satisfying the requirements of the competent State authorities in accordance with the prevailing laws. Accordingly, this Report on Contributed Charter Capital may not be suitable for any other purpose.

LPBANK SECURITIES JOINT STOCK COMPANY

3rd Floor, Office Building, No. 43-45-47 Nguyen Thi Minh Khai Street, Saigon Ward,
Ho Chi Minh City, Vietnam

**NOTES TO THE REPORT ON CONTRIBUTED CHARTER CAPITAL FOR THE PERIOD
FROM JANUARY 1, 2016 TO DECEMBER 31, 2025 (CONTINUED)**

3. APPLICABLE ACCOUNTING STANDARDS AND SYSTEM

Applicable Accounting System

The Company applies the accounting system applicable to securities companies promulgated by the Ministry of Finance under Circular No. 210/2014/TT-BTC dated December 30, 2014 ("Circular 210") providing guidance on accounting applicable to securities companies, and Circular No. 334/2016/TT-BTC dated December 27, 2016 ("Circular 334"), which amends, supplements and replaces Appendices 02 and 04 to Circular 210. These Circulars prescribe regulations on accounting documents, the chart of accounts, and the methods for preparing and presenting the financial statements of securities companies.

Statement of compliance with Accounting Standards and Accounting System

The Company applies the accounting standards and accounting system prescribed by applicable laws and the guiding documents governing accounting standards and accounting system. The Report on Contributed Charter Capital has been prepared and presented in accordance with the relevant provisions of the applicable accounting standards, the Circulars providing guidance on the implementation of accounting standards and the prevailing accounting system, and the legal regulations governing the preparation of the Report on Contributed Charter Capital, including Circular No. 19/2025/TT-BTC dated May 5, 2025, providing for the registration of public companies, the revocation of public company status, and audited reports on contributed charter capital; Circular No. 210/2014/TT-BTC dated December 30, 2014 ("Circular 210") providing guidance on accounting applicable to securities companies; and Circular No. 334/2016/TT-BTC dated December 27, 2016 ("Circular 334"), which amends, supplements and replaces Appendices 02 and 04 to Circular 210.

4. APPLIED ACCOUNTING POLICIES

Capital contributions raised and received from the owners are recognized based on the actual amounts contributed. Specifically:

- *Owners' contributed capital:* This item reflects the actual capital contributed by the owners in accordance with the Company's Charter. Capital raised through the issuance of shares is recognized in this account at par value.
- *Share premium:* This item reflects the excess of the share issuance price over the par value of the shares (if any)

5. RECOGNITION AND PRESENTATION OF OWNERS' CONTRIBUTED CAPITAL

The owners' capital contributions and increases in contributed charter capital during the period from January 1, 2016 to December 31, 2025 have been recognized in accordance with the applicable accounting standards, accounting system, accounting policies adopted by the Company, other relevant legal regulations, and the Company's documents and records relating to capital contributions and charter capital increases.

The Company's Board of General Directors is responsible for the recognition and presentation of the owners' contributed charter capital in the Report on Contributed Charter Capital for the period from January 1, 2016 to December 31, 2025, and is also responsible for the completeness, accuracy, truthfulness and fairness of the information and data presented therein, including: the opening balance, information relating to the charter capital increases, the closing balance, and the Notes to the Report on Contributed Charter Capital for the period from January 1, 2016 to December 31, 2025.

LPBANK SECURITIES JOINT STOCK COMPANY

3rd Floor, Office Building, No. 43-45-47 Nguyen Thi Minh Khai Street, Saigon Ward,
Ho Chi Minh City, Vietnam

**NOTES TO THE REPORT ON CONTRIBUTED CHARTER CAPITAL FOR THE PERIOD
FROM JANUARY 1, 2016 TO DECEMBER 31, 2025 (CONTINUED)**

6. OPENING BALANCE (AS AT JANUARY 1, 2016)

a. Opening balance as at January 1, 2016: VND 125,000,000,000

b. Basis for recognition of the opening balance

The most recent documents available prior to the beginning of the reporting period include:

- Minutes of the General Meeting of Shareholders of Viettranimex Securities Joint Stock Company (VSI) dated January 19, 2009.
- License for Establishment and Operation of a Securities Company No. 104/UBCK-GP issued by the State Securities Commission on February 12, 2009, under which the Company's charter capital was stated to be VND 125,000,000,000.
- Charter of Lien Viet Securities Joint Stock Company dated June 30, 2015, under which the Company's total charter capital was divided into 12,500,000 ordinary shares, each having a par value of VND 10,000.
- The Company's audited Financial Statements 2015, in which Owners' invested capital amounted to VND 125,000,000,000.
- Detailed list of shareholders as at January 1, 2016, as presented in the audited Financial Statements 2015 dated March 17, 2016.

c. Details of contributed capital as at January 1, 2016: Details are presented in Appendix 01.

7. CAPITAL INCREASES DURING THE REPORTING PERIOD

During the period from January 1, 2016 to December 31, 2025, the Company completed 3 charter capital increases and no charter capital reduction. Details are as follows:

7.1. FIRST CAPITAL INCREASE ON JULY 25, 2016

a. Legal basis

- Resolution No. 01/2016/NQ-DHDCD dated March 29, 2016 by the Annual General Meeting of Shareholders of Lien Viet Securities Joint Stock Company approving the plan for the increase of the Company's charter capital and the plan for the use of capital after issuance.
- Resolution No. 03/2016/NQ-HDQT dated May 5, 2016 by the Board of Directors of Lien Viet Securities Joint Stock Company (LVS) approving the detailed plan for the issuance of shares to increase the Company's charter capital in accordance with the plan approved by the General Meeting of Shareholders.
- Official Letter No. 3511/UBCK-QLKD dated June 23, 2016 by the State Securities Commission of Vietnam regarding the offering of shares to existing shareholders.
- Resolution No. 04/2016/NQ-HDQT dated June 24, 2016 by the Board of Directors of Lien Viet Securities Joint Stock Company (LVS) approving the record date of the list of shareholders entitled to participate in the private placement of shares to existing shareholders on June 24, 2016.
- Resolution No. 05/2016/NQ-HDQT dated June 28, 2016 by the Board of Directors of Lien Viet Securities Joint Stock Company (LVS) approving the reallocation of all shares declined by other shareholders.
- Official Letter No. 86/2016/CV-LVS dated July 7, 2016 by the Company regarding the report on the results of the private placement of shares of Lien Viet Securities Joint Stock Company.
- The Company's Register of Shareholders established as at July 7, 2016, showing 3 contributing shareholders.
- Amended License for Establishment and Operation of a Securities Company No. 24/GPDC-UBCK issued by the State Securities Commission of Vietnam on July 25, 2016.

LPBANK SECURITIES JOINT STOCK COMPANY

3rd Floor, Office Building, No. 43-45-47 Nguyen Thi Minh Khai Street, Saigon Ward,
Ho Chi Minh City, Vietnam

**NOTES TO THE REPORT ON CONTRIBUTED CHARTER CAPITAL FOR THE PERIOD
FROM JANUARY 1, 2016 TO DECEMBER 31, 2025 (CONTINUED)**

7.1. FIRST CAPITAL INCREASE ON JULY 25, 2016 (CONTINUED)

- The Audited Financial Statements 2016 of Lien Viet Securities Joint Stock Company.
- The Charter of Lien Viet Securities Joint Stock Company dated August 23, 2017, under which the Company's total charter capital was divided into 25,000,000 ordinary shares, each having a par value of VND 10,000.

b. Details of the capital increase

- Charter capital before the increase: VND 125,000,000,000
- Increase in charter capital: VND 125,000,000,000
- Charter capital after the increase: VND 250,000,000,000
- Number of shareholders before the increase: 3 shareholders
- Number of shareholders after the increase: 3 shareholders
- Commencement date of the capital increase: June 28, 2016
- Completion date of the capital increase: July 25, 2016

c. Information relating to the capital increase: Details are presented in Appendix 02

7.2. SECOND CAPITAL INCREASE ON MAY 15, 2024

a. Legal basis

- Resolution No. 03/2023/NQ-DHDCD dated December 9, 2023 by the Second Extraordinary General Meeting of Shareholders 2023 of LPBank Securities Joint Stock Company, approving the plan for the offering of shares to existing shareholders for the purpose of increasing the Company's charter capital.
- Resolution No. 23/2023/NQ-HDQT dated December 9, 2023 by the Board of Directors regarding the dismissal of Ms. Vu Thanh Hue, the election of Mr. Le Minh Tam as Chairman of the Board of Directors and legal representative of the Company, and the assignment of specific responsibilities to members of the Board of Directors.
- Resolution No. 02/2024/NQ-HDQT dated January 11, 2024 by the Board of Directors of LPBank Securities Joint Stock Company (LPBS) approving the detailed implementation plan for the offering of shares to existing shareholders to increase the Company's charter capital.
- Resolution No. 03/2024/NQ-HDQT dated January 11, 2024 by the Board of Directors of LPBank Securities Joint Stock Company (LPBS) approving the application dossier for the offering of shares to existing shareholders for the purpose of increasing the Company's charter capital.
- Resolution No. 03a/2024/NQ-HDQT dated January 11, 2024 by the Board of Directors of LPBank Securities Joint Stock Company (LPBS) approving the treatment of shares not subscribed for, shares for which subscription monies were not paid, and fractional shares, whereby such shares were allocated by the Board of Directors to other shareholders and/or investors.
- Resolution No. 14/2024/NQ-HDQT dated April 3, 2024 of the Board of Directors of LPBank Securities Joint Stock Company approving the extension of the subscription payment period for the exercise of share purchase rights under the offering of shares to existing shareholders.
- Notice No. 90/2024/TTr-LPBS dated April 3, 2024 regarding the extension of the subscription payment period for the exercise of share purchase rights under the offering of shares to existing shareholders of LPBank Securities Joint Stock Company.

LPBANK SECURITIES JOINT STOCK COMPANY

3rd Floor, Office Building, No. 43-45-47 Nguyen Thi Minh Khai Street, Saigon Ward,
Ho Chi Minh City, Vietnam

**NOTES TO THE REPORT ON CONTRIBUTED CHARTER CAPITAL FOR THE PERIOD
FROM JANUARY 1, 2016 TO DECEMBER 31, 2025 (CONTINUED)****7.2. SECOND CAPITAL INCREASE ON MAY 15, 2024 (CONTINUED)**

- Resolution No. 17/2024/NQ-LPBS dated April 15, 2024 by the Board of Directors of LPBank Securities Joint Stock Company approving the preliminary results of the share offering and the plan for the disposal of unsubscribed shares.
- Report No. 38/BC-LPBS dated April 16, 2024 by the Company on the results of the offering of shares to the existing shareholders of LPBank Securities Joint Stock Company.
- Resolution No. 18/2024/NQ-LPBS dated April 16, 2024 by the Board of Directors of LPBank Securities Joint Stock Company approving the results of the offering of shares to existing shareholders and the submission dossier to the State Securities Commission of Vietnam.
- Amended License for Establishment and Operation of a Securities Company No. 27/GPDC-UBCK, issued by the State Securities Commission of Vietnam on April 26, 2024.
- The Charter of Fortune Vietnam Bank Securities Joint Stock Company dated April 26, 2024, under which the Company's total charter capital was divided into 388,800,000 ordinary shares, each having a par value of VND 10,000/share.
- Enterprise Registration Certificate No. 0309312029, amended for the 13th time dated May 15, 2024 issued by the Ho Chi Minh City Department of Planning and Investment.
- The Company's Register of Shareholders established as at December 9, 2023, showing 5 contributing shareholders.
- The Company's Register of Shareholders established as at April 17, 2024, showing 15 contributing shareholders.
- The Audited Financial Statements 2024 of LPBank Securities Joint Stock Company.

b. Details of the capital increase

- Charter capital before the increase: VND 250,000,000,000
- Increase in charter capital: VND 3,638,000,000,000
- Charter capital after the increase: VND 3,888,000,000,000
- Number of shareholders before the increase: 5 shareholders
- Number of shareholders after the increase: 15 shareholders
- Commencement date of the capital increase: March 18, 2024
- Completion date of the capital increase: May 15, 2024

c. Information relating to the capital increase: Details are presented in Appendix 03

LPBANK SECURITIES JOINT STOCK COMPANY

3rd Floor, Office Building, No. 43-45-47 Nguyen Thi Minh Khai Street, Saigon Ward,
Ho Chi Minh City, Vietnam

**NOTES TO THE REPORT ON CONTRIBUTED CHARTER CAPITAL FOR THE PERIOD
FROM JANUARY 1, 2016 TO DECEMBER 31, 2025 (CONTINUED)**

7.3. THIRD CAPITAL INCREASE ON NOVEMBER 14, 2025

a. Legal basis

- Resolution No. 38/2025/NQ-HDQT dated April 21, 2025 by the Board of Directors approving the revision and supplementation of the meeting materials for the Annual General Meeting of Shareholders 2025 of LPBank Securities Joint Stock Company.
- Resolution No. 04/2025/NQ-DHDCD dated April 24, 2025 by the Annual General Meeting of Shareholders 2025 of LPBank Securities Joint Stock Company.
- Resolution No. 61/2025/NQ-HDQT dated June 5, 2025 by the Board of Directors approving the detailed Plan for the offering of shares to existing shareholders to increase the charter capital of LPBank Securities Joint Stock Company.
- Resolution No. 62/2025/NQ-HDQT dated June 5, 2025 by the Board of Directors approving the implementation of the Plan for the offering of shares to existing shareholders to increase charter capital in 2025, approving the blocked bank account for receiving subscription proceeds from the share offering, and approving the application dossier for the offering of shares to existing shareholders to increase the charter capital 2025 of LPBank Securities Joint Stock Company.
- Resolution No. 90/2025/NQ-HDQT dated July 23, 2025 by the Board of Directors approving the change of the blocked bank account for receiving subscription proceeds from the share offering and the application dossier for the offering of shares to existing shareholders to increase the charter capital 2025 of LPBank Securities Joint Stock Company.
- Resolution No. 99/2025/NQ-HDQT dated July 31, 2025 by the Board of Directors of LPBank Securities Joint Stock Company approving the clarification of the use of proceeds under the share offering plan to existing shareholders issued together with Resolution No. 04/2025/NQ-DHDCD dated April 24, 2025.
- Resolution No. 128a/2025/NQ-HDQT dated October 14, 2025 by the Board of Directors approving transactions with insiders and related persons of insiders.
- Resolution No. 129/2025/NQ-HDQT dated October 15, 2025 by the Board of Directors of LPBank Securities Joint Stock Company approving the preliminary results of the share offering and the plan for the disposal of unsubscribed shares resulting from existing shareholders declining their pre-emptive rights and fractional shares.
- Resolution No. 130/2025/NQ-HDQT dated October 15, 2025 by the Board of Directors of LPBank Securities Joint Stock Company approving the results of the offering of shares to existing shareholders.
- Report No. 175/2025/BC-LPBS dated October 15, 2025 on the results of the offering of shares to existing shareholders of LPBank Securities Joint Stock Company.
- Amended License for Establishment and Operation of a Securities Company No. 118/GPDC-UBCK, issued by the State Securities Commission of Vietnam on October 29, 2025.
- Enterprise Registration Certificate No. 0309312029, initially issued on February 12, 2009, as amended for the 20th time on November 14, 2025, by the Ho Chi Minh City Department of Planning and Investment.
- Appendix on the amendment and supplementation of the Charter of LPBank Securities Joint Stock Company, attached to Resolution No. 04/2025/NQ-DHDCDBT dated December 8, 2025, amending the Charter of LPBank Securities Joint Stock Company dated April 24, 2025, under which the Company's charter capital is stated to be VND 12,668,000,000,000.
- The Company's Register of Shareholders established as at September 8, 2025, showing 14 contributing shareholders.

LPBANK SECURITIES JOINT STOCK COMPANY

3rd Floor, Office Building, No. 43-45-47 Nguyen Thi Minh Khai Street, Saigon Ward,
Ho Chi Minh City, Vietnam

**NOTES TO THE REPORT ON CONTRIBUTED CHARTER CAPITAL FOR THE PERIOD
FROM JANUARY 1, 2016 TO DECEMBER 31, 2025 (CONTINUED)**

7.3. THIRD CAPITAL INCREASE ON NOVEMBER 14, 2025 (CONTINUED)

- The Company's Register of Shareholders established as at October 16, 2025, showing 81 contributing shareholders.

b. Details of the capital increase

- Charter capital before the increase: VND 3,888,000,000,000
- Increase in charter capital: VND 8,780,000,000,000
- Charter capital after the increase: VND 12,668,000,000,000
- Number of shareholders before the increase: 14 shareholders
- Number of shareholders after the increase: 81 shareholders
- Commencement date of the capital increase: September 15, 2025
- Completion date of the capital increase: November 14, 2025

c. Information relating to the capital increase: Details are presented in Appendix 04

8. CLOSING BALANCE (AS AT DECEMBER 31, 2025)

The Company's contributed charter capital as at December 31, 2025 amounted to VND 12,668,000,000,000.

Details are presented in Appendix 05.

9. EVENTS ARISING AFTER DECEMBER 31, 2025

No significant events have occurred after December 31, 2025 that would have a material effect requiring adjustment to or disclosure in the Report on Contributed Charter Capital for the period from January 1, 2016 to December 31, 2025 of the Company.

Ho Chi Minh City, March 20, 2026

Prepared by
(Signed and full name)

Chief Accountant
(Signed and full name)

General Director
(Signed and Sealed)

LPBANK SECURITIES JOINT
STOCK COMPANY
LICENSE NO.: 72 – JSC – SAIGON
WARD – HO CHI MINH CITY

Tran Nhat Duy

Nguyen Thi Ngan

Hoang Viet Anh

LPBANK SECURITIES JOINT STOCK COMPANY3rd Floor, Office Building, No. 43-45-47 Nguyen Thi Minh Khai Street, Saigon Ward, Ho Chi Minh City, Vietnam**APPENDICES TO THE REPORT ON CONTRIBUTED CHARTER CAPITAL FOR THE PERIOD FROM JANUARY 1, 2016 TO DECEMBER 31, 2025
(CONTINUED)****APPENDIX 01: DETAILS OF THE OWNERS' CONTRIBUTED CAPITAL AS AT JANUARY 1, 2016, AS REFERRED TO IN NOTE 6 TO THE REPORT ON
CONTRIBUTED CHARTER CAPITAL**

No.	Contributing shareholder	Number of shares	Value of contributed capital (VND)	Percentage (%)
(1)	(2)	(3)	(4)	(5)
1	Him Lam Joint Stock Company	9,875,000	98,750,000,000	79%
2	Lien Viet Post Joint Stock Commercial Bank	1,375,000	13,750,000,000	11%
3	Mr. Phan Huu Tuan	1,250,000	12,500,000,000	10%
	Total	12,500,000	125,000,000,000	100%

Ho Chi Minh City, March 20, 2026

Prepared by
(Signed and full name)

Chief Accountant
(Signed and full name)

General Director
(Signed and Sealed)

LPBANK SECURITIES JOINT
STOCK COMPANY
LICENSE NO.: 72 – JSC – SAIGON
WARD – HO CHI MINH CITY

Tran Nhat Duy**Nguyen Thi Ngan****Hoang Viet Anh**

LPBANK SECURITIES JOINT STOCK COMPANY3rd Floor, Office Building, No. 43-45-47 Nguyen Thi Minh Khai Street, Saigon Ward, Ho Chi Minh City, Vietnam**APPENDICES TO THE REPORT ON CONTRIBUTED CHARTER CAPITAL FOR THE PERIOD FROM JANUARY 1, 2016 TO DECEMBER 31, 2025
(CONTINUED)****APPENDIX 02: DETAILS OF THE FIRST CAPITAL INCREASE ON JULY 25, 2016, AS REFERRED TO IN NOTE 7.1 TO THE REPORT ON CONTRIBUTED CHARTER CAPITAL**

No.	Name of contributing shareholder	Position (if any)	Related person (if any)	Number of shares	Contributed capital in this Offering (VND)	Percentage (%)	Method of contribution	Contributed asset	Supporting document for capital contribution (*)
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
1	Him Lam Joint Stock Company			12,500,000	125,000,000,000	100%	Cash contribution	Cash contribution by bank deposit in the amount of VND 125,000,000,000	Bank statement issued by Lien Viet Post Joint Stock Commercial Bank dated July 7, 2016, showing an amount of VND 125,000,000,000
	Total			12,500,000	125,000,000,000	100%			

(*) Bank statement for Account No. 991981980570 at Lien Viet Post Joint Stock Commercial Bank – Ho Chi Minh City Branch for the period from June 1, 2016 to July 7, 2016.

Ho Chi Minh City, March 20, 2026

Prepared by
(Signed and full name)

Chief Accountant
(Signed and full name)

General Director
(Signed and Sealed)

LPBANK SECURITIES JOINT
STOCK COMPANY
LICENSE NO.: 72 – JSC – SAIGON
WARD – HO CHI MINH CITY

Tran Nhat Duy

Nguyen Thi Ngan

Hoang Viet Anh

LPBANK SECURITIES JOINT STOCK COMPANY3rd Floor, Office Building, No. 43-45-47 Nguyen Thi Minh Khai Street, Saigon Ward, Ho Chi Minh City, Vietnam**APPENDICES TO THE REPORT ON CONTRIBUTED CHARTER CAPITAL FOR THE PERIOD FROM JANUARY 1, 2016 TO DECEMBER 31, 2025
(CONTINUED)****APPENDIX 03: DETAILS OF THE SECOND CAPITAL INCREASE ON MAY 15, 2024, AS REFERRED TO IN NOTE 7.2 TO THE REPORT ON CONTRIBUTED
CHARTER CAPITAL**

No.	Name of contributing shareholder	Position (if any)	Related person (if any)	Number of shares	Contributed capital in this Offering (VND)	Percentage (%)	Method of contribution	Contributed asset	Supporting document for capital contribution (*)
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
1	Pham Thu Hang	Member of the BOD		50,000,000	500,000,000,000	13.744%	Cash contribution	Cash contribution by bank deposit in the amount of VND 500,000,000,000	Bank statement issued by Joint Stock Commercial Bank for Investment and Development of Vietnam dated April 11, 2024, showing an amount of VND 500,000,000,000.
2	Vu Thanh Hue	Vice Chairwoman of the BOD	Dao Quoc Loi	75,838,100	758,381,000,000	20.846%	Cash contribution	Cash contribution by bank deposit in the amount of VND 758,381,000,000	Bank statements issued by Joint Stock Commercial Bank for Investment and Development of Vietnam dated April 11, 2024, showing an amount of VND 509,320,000,000, and dated April 16, 2024, showing an amount of VND 249,061,000,000.
3	Ngo Quyet Tien			69,742,000	697,420,000,000	19.170%	Cash contribution	Cash contribution by bank deposit in the amount of VND 697,420,000,000	Bank statements issued by Joint Stock Commercial Bank for Investment and Development of Vietnam dated April 11, 2024, showing an amount of VND 327,420,000,000, and dated April 16, 2024, showing an amount of

LPBANK SECURITIES JOINT STOCK COMPANY3rd Floor, Office Building, No. 43-45-47 Nguyen Thi Minh Khai Street, Saigon Ward, Ho Chi Minh City, Vietnam**APPENDICES TO THE REPORT ON CONTRIBUTED CHARTER CAPITAL FOR THE PERIOD FROM JANUARY 1, 2016 TO DECEMBER 31, 2025
(CONTINUED)**

No.	Name of contributing shareholder	Position (if any)	Related person (if any)	Number of shares	Contributed capital in this Offering (VND)	Percentage (%)	Method of contribution	Contributed asset	Supporting document for capital contribution (*)
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
									VND 370,000,000,000.
4	Vietnam Post Joint Stock Commercial Bank			20,009,000	200,090,000,000	5.500%	Cash contribution	Cash contribution by bank deposit in the amount of VND 200,090,000,000	Bank statement issued by Joint Stock Commercial Bank for Investment and Development of Vietnam dated April 15, 2024, showing an amount of VND 200,090,000,000.
5	Cao Khanh Linh			1,000,450	10,004,500,000	0.275%	Cash contribution	Cash contribution by bank deposit in the amount of VND 10,004,500,000	Bank statement issued by Joint Stock Commercial Bank for Investment and Development of Vietnam dated April 15, 2024, showing an amount of VND 10,004,500,000.
6	Cao Khanh Huy			1,000,450	10,004,500,000	0.275%	Cash contribution	Cash contribution by bank deposit in the amount of VND 10,004,500,000	Bank statement issued by Joint Stock Commercial Bank for Investment and Development of Vietnam dated April 15, 2024, showing an amount of VND 10,004,500,000.
7	Pham Manh Toan			18,500,000	185,000,000,000	5.085%	Cash contribution	Cash contribution by bank deposit in the amount of VND 185,000,000,000	Bank statement issued by Joint Stock Commercial Bank for Investment and Development of Vietnam dated April 16, 2024, showing an amount of VND 185,000,000,000.

LPBANK SECURITIES JOINT STOCK COMPANY3rd Floor, Office Building, No. 43-45-47 Nguyen Thi Minh Khai Street, Saigon Ward, Ho Chi Minh City, Vietnam**APPENDICES TO THE REPORT ON CONTRIBUTED CHARTER CAPITAL FOR THE PERIOD FROM JANUARY 1, 2016 TO DECEMBER 31, 2025
(CONTINUED)**

No.	Name of contributing shareholder	Position (if any)	Related person (if any)	Number of shares	Contributed capital in this Offering (VND)	Percentage (%)	Method of contribution	Contributed asset	Supporting document for capital contribution (*)
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
8	Nguyen Thi Luyen			19,200,000	192,000,000,000	5.278%	Cash contribution	Cash contribution by bank deposit in the amount of VND 192,000,000,000	Bank statement issued by Joint Stock Commercial Bank for Investment and Development of Vietnam dated April 16, 2024, showing an amount of VND 192,000,000,000.
9	Nguyen Thi Thanh Ha			18,100,000	181,000,000,000	4.975%	Cash contribution	Cash contribution by bank deposit in the amount of VND 181,000,000,000	Bank statement issued by Joint Stock Commercial Bank for Investment and Development of Vietnam dated April 16, 2024, showing an amount of VND 181,000,000,000.
10	Tran Quang Truong			18,000,000	180,000,000,000	4.948%	Cash contribution	Cash contribution by bank deposit in the amount of VND 180,000,000,000	Bank statement issued by Joint Stock Commercial Bank for Investment and Development of Vietnam dated April 16, 2024, showing an amount of VND 180,000,000,000.
11	Phan Le Thanh Thuy			17,010,000	170,100,000,000	4.676%	Cash contribution	Cash contribution by bank deposit in the amount of VND 170,100,000,000	Bank statement issued by Joint Stock Commercial Bank for Investment and Development of Vietnam dated April 16, 2024, showing an amount of VND 170,100,000,000.

LPBANK SECURITIES JOINT STOCK COMPANY3rd Floor, Office Building, No. 43-45-47 Nguyen Thi Minh Khai Street, Saigon Ward, Ho Chi Minh City, Vietnam**APPENDICES TO THE REPORT ON CONTRIBUTED CHARTER CAPITAL FOR THE PERIOD FROM JANUARY 1, 2016 TO DECEMBER 31, 2025
(CONTINUED)**

No.	Name of contributing shareholder	Position (if any)	Related person (if any)	Number of shares	Contributed capital in this Offering (VND)	Percentage (%)	Method of contribution	Contributed asset	Supporting document for capital contribution (*)
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
12	La Ngan Giang Hien			18,500,000	185,000,000,000	5.085%	Cash contribution	Cash contribution by bank deposit in the amount of VND 185,000,000,000	Bank statement issued by Joint Stock Commercial Bank for Investment and Development of Vietnam dated April 16, 2024, showing an amount of VND 185,000,000,000.
13	Nguyen Thi Minh Anh			18,000,000	180,000,000,000	4.948%	Cash contribution	Cash contribution by bank deposit in the amount of VND 180,000,000,000	Bank statement issued by Joint Stock Commercial Bank for Investment and Development of Vietnam dated April 16, 2024, showing an amount of VND 180,000,000,000.
14	Do Thi Trang			18,900,000	189,000,000,000	5.195%	Cash contribution	Cash contribution by bank deposit in the amount of VND 189,000,000,000	Bank statement issued by Joint Stock Commercial Bank for Investment and Development of Vietnam dated April 16, 2024, showing an amount of VND 189,000,000,000.
	Total			363,800,000	3,638,000,000,000	100.000%			

LPBANK SECURITIES JOINT STOCK COMPANY

3rd Floor, Office Building, No. 43-45-47 Nguyen Thi Minh Khai Street, Saigon Ward, Ho Chi Minh City, Vietnam

**APPENDICES TO THE REPORT ON CONTRIBUTED CHARTER CAPITAL FOR THE PERIOD FROM JANUARY 1, 2016 TO DECEMBER 31, 2025
(CONTINUED)**

(*): Bank statements for Account No. 8600014699 at Joint Stock Commercial Bank for Investment and Development of Vietnam – Nam Ky Khoi Nghia Branch, for the period from March 1, 2024 to May 31, 2024.

Ho Chi Minh City, March 20, 2026

Prepared by
(Signed and full name)

Chief Accountant
(Signed and full name)

General Director
(Signed and Sealed)

LPBANK SECURITIES JOINT STOCK COMPANY LICENSE NO.: 72 – JSC – SAIGON WARD – HO CHI MINH CITY

Tran Nhat Duy

Nguyen Thi Ngan

Hoang Viet Anh

LPBANK SECURITIES JOINT STOCK COMPANY3rd Floor, Office Building, No. 43-45-47 Nguyen Thi Minh Khai Street, Saigon Ward, Ho Chi Minh City, Vietnam**APPENDICES TO THE REPORT ON CONTRIBUTED CHARTER CAPITAL FOR THE PERIOD FROM JANUARY 1, 2016 TO DECEMBER 31, 2025
(CONTINUED)****APPENDIX 04: DETAILS OF THE THIRD CAPITAL INCREASE ON NOVEMBER 14, 2025, AS REFERRED TO IN NOTE 7.3 TO THE REPORT ON CONTRIBUTED CHARTER CAPITAL**

No.	Name of contributing shareholder	Position (if any)	Related person (if any)	Number of shares	Contributed capital in this Offering (VND)	Percentage (%)	Method of contribution	Contributed asset	Supporting document for capital contribution (*)
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
1	Fortune Vietnam Commercial Joint Stock Bank			48,289,990	482,899,900,000	5.500%	Cash contribution	Cash contribution by bank deposit in the amount of VND 482,899,900,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 482,899,900,000
2	Ngo Quyet Tien			22,420,741	224,207,410,000	2.553%	Cash contribution	Cash contribution by bank deposit in the amount of VND 224,207,410,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 224,207,410,000
3	Vu Thanh Hue	Vice Chairwoman of the BOD	Dao Quoc Loi	50,171,321	501,713,210,000	5.714%	Cash contribution	Cash contribution by bank deposit in the amount of VND 501,713,210,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 13, 2025, showing an amount of VND 501,713,210,000
4	Pham Thu Hang	Member of the BOD	Pham Xuan Nam	45,053,946	450,539,460,000	5.130%	Cash contribution	Cash contribution by bank deposit in the amount of VND 450,539,460,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 450,539,460,000

LPBANK SECURITIES JOINT STOCK COMPANY3rd Floor, Office Building, No. 43-45-47 Nguyen Thi Minh Khai Street, Saigon Ward, Ho Chi Minh City, Vietnam**APPENDICES TO THE REPORT ON CONTRIBUTED CHARTER CAPITAL FOR THE PERIOD FROM JANUARY 1, 2016 TO DECEMBER 31, 2025
(CONTINUED)**

No.	Name of contributing shareholder	Position (if any)	Related person (if any)	Number of shares	Contributed capital in this Offering (VND)	Percentage (%)	Method of contribution	Contributed asset	Supporting document for capital contribution (*)
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
5	Cao Khanh Linh			5,024,100	50,241,000,000	0.572%	Cash contribution	Cash contribution by bank deposit in the amount of VND 50,241,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 50,241,000,000
6	Pham Manh Toan			41,777,255	417,772,550,000	4.758%	Cash contribution	Cash contribution by bank deposit in the amount of VND 417,772,550,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 13, 2025, showing an amount of VND 417,772,550,000
7	Nguyen Thi Luyen			43,358,016	433,580,160,000	4.938%	Cash contribution	Cash contribution by bank deposit in the amount of VND 433,580,160,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 13, 2025, showing an amount of VND 433,580,160,000
8	Nguyen Thi Thanh Ha			40,873,963	408,739,630,000	4.655%	Cash contribution	Cash contribution by bank deposit in the amount of VND 408,739,630,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 13, 2025, showing an amount of VND 408,739,630,000
9	Tran Quang Truong			40,648,140	406,481,400,000	4.630%	Cash contribution	Cash contribution by bank deposit in the amount of VND 406,481,400,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 13, 2025, showing an amount of VND 406,481,400,000.

LPBANK SECURITIES JOINT STOCK COMPANY3rd Floor, Office Building, No. 43-45-47 Nguyen Thi Minh Khai Street, Saigon Ward, Ho Chi Minh City, Vietnam**APPENDICES TO THE REPORT ON CONTRIBUTED CHARTER CAPITAL FOR THE PERIOD FROM JANUARY 1, 2016 TO DECEMBER 31, 2025
(CONTINUED)**

No.	Name of contributing shareholder	Position (if any)	Related person (if any)	Number of shares	Contributed capital in this Offering (VND)	Percentage (%)	Method of contribution	Contributed asset	Supporting document for capital contribution (*)
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
10	La Ngan Giang Hien			41,777,255	417,772,550,000	4.758%	Cash contribution	Cash contribution by bank deposit in the amount of VND 417,772,550,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 13, 2025, showing an amount of VND 417,772,550,000
11	Nguyen Thi Minh Anh			40,648,140	406,481,400,000	4.630%	Cash contribution	Cash contribution by bank deposit in the amount of VND 406,481,400,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 13, 2025, showing an amount of VND 406,481,400,000
12	Do Thi Trang			45,280,221	452,802,210,000	5.157%	Cash contribution	Cash contribution by bank deposit in the amount of VND 452,802,210,000	Bank statements issued by Vietnam International Commercial Joint Stock Bank dated October 13, 2025, showing an amount of VND 426,805,470,000, and dated October 15, 2025, showing an amount of VND 25,996,740,000
13	Nguyen Thi Bich Ngoc			38,412,492	384,124,920,000	4.375%	Cash contribution	Cash contribution by bank deposit in the amount of VND 384,124,920,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 13, 2025, showing an amount of VND 384,124,920,000

LPBANK SECURITIES JOINT STOCK COMPANY3rd Floor, Office Building, No. 43-45-47 Nguyen Thi Minh Khai Street, Saigon Ward, Ho Chi Minh City, Vietnam**APPENDICES TO THE REPORT ON CONTRIBUTED CHARTER CAPITAL FOR THE PERIOD FROM JANUARY 1, 2016 TO DECEMBER 31, 2025
(CONTINUED)**

No.	Name of contributing shareholder	Position (if any)	Related person (if any)	Number of shares	Contributed capital in this Offering (VND)	Percentage (%)	Method of contribution	Contributed asset	Supporting document for capital contribution (*)
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
14	Nguyen Thi Kieu Anh			38,699,287	386,992,870,000	4.408%	Cash contribution	Cash contribution by bank deposit in the amount of VND 386,992,870,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 13, 2025, showing an amount of VND 386,992,870,000
15	Kieu Phuong Khoan			32,247,524	322,475,240,000	3.673%	Cash contribution	Cash contribution by bank deposit in the amount of VND 322,475,240,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 13, 2025, showing an amount of VND 322,475,240,000
16	Tran Anh Tuyet			28,379,176	283,791,760,000	3.232%	Cash contribution	Cash contribution by bank deposit in the amount of VND 283,791,760,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 13, 2025, showing an amount of VND 283,791,760,000
17	Tran Thi Quynh Phuong			19,348,514	193,485,140,000	2.204%	Cash contribution	Cash contribution by bank deposit in the amount of VND 193,485,140,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 193,485,140,000

LPBANK SECURITIES JOINT STOCK COMPANY3rd Floor, Office Building, No. 43-45-47 Nguyen Thi Minh Khai Street, Saigon Ward, Ho Chi Minh City, Vietnam**APPENDICES TO THE REPORT ON CONTRIBUTED CHARTER CAPITAL FOR THE PERIOD FROM JANUARY 1, 2016 TO DECEMBER 31, 2025
(CONTINUED)**

No.	Name of contributing shareholder	Position (if any)	Related person (if any)	Number of shares	Contributed capital in this Offering (VND)	Percentage (%)	Method of contribution	Contributed asset	Supporting document for capital contribution (*)
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
18	Hoang Thanh Nguyet			10,317,852	103,178,520,000	1.175%	Cash contribution	Cash contribution by bank deposit in the amount of VND 103,178,520,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 13, 2025, showing an amount of VND 103,178,520,000
19	Ngo Thi Vuong Hau			34,688,671	346,886,710,000	3.951%	Cash contribution	Cash contribution by bank deposit in the amount of VND 346,886,710,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 346,886,710,000
20	Nguyen Van Thang			29,533,769	295,337,690,000	3.364%	Cash contribution	Cash contribution by bank deposit in the amount of VND 295,337,690,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 295,337,690,000
21	Do Tuan Vu			21,024,121	210,241,210,000	2.395%	Cash contribution	Cash contribution by bank deposit in the amount of VND 210,241,210,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 210,241,210,000
22	Tran Thi Thu Huong	Member of the BOD	Tong Duc Hau	15,766,961	157,669,610,000	1.796%	Cash contribution	Cash contribution by bank deposit in the amount of VND 157,669,610,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 157,669,610,000

LPBANK SECURITIES JOINT STOCK COMPANY3rd Floor, Office Building, No. 43-45-47 Nguyen Thi Minh Khai Street, Saigon Ward, Ho Chi Minh City, Vietnam**APPENDICES TO THE REPORT ON CONTRIBUTED CHARTER CAPITAL FOR THE PERIOD FROM JANUARY 1, 2016 TO DECEMBER 31, 2025
(CONTINUED)**

No.	Name of contributing shareholder	Position (if any)	Related person (if any)	Number of shares	Contributed capital in this Offering (VND)	Percentage (%)	Method of contribution	Contributed asset	Supporting document for capital contribution (*)
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
23	Le Trung Hieu			4,204,824	42,048,240,000	0.479%	Cash contribution	Cash contribution by bank deposit in the amount of VND 42,048,240,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 42,048,240,000
24	Nguyen Khac Doan			22,108,071	221,080,710,000	2.518%	Cash contribution	Cash contribution by bank deposit in the amount of VND 221,080,710,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 221,080,710,000
25	Nguyen Tuan Hiep			39,017,697	390,176,970,000	4.444%	Cash contribution	Cash contribution by bank deposit in the amount of VND 390,176,970,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 390,176,970,000
26	Nguyen Thi Hang			26,010,293	260,102,930,000	2.962%	Cash contribution	Cash contribution by bank deposit in the amount of VND 260,102,930,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 260,102,930,000
27	Phung Viet Anh			19,508,848	195,088,480,000	2.222%	Cash contribution	Cash contribution by bank deposit in the amount of VND 195,088,480,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 195,088,480,000

LPBANK SECURITIES JOINT STOCK COMPANY3rd Floor, Office Building, No. 43-45-47 Nguyen Thi Minh Khai Street, Saigon Ward, Ho Chi Minh City, Vietnam**APPENDICES TO THE REPORT ON CONTRIBUTED CHARTER CAPITAL FOR THE PERIOD FROM JANUARY 1, 2016 TO DECEMBER 31, 2025
(CONTINUED)**

No.	Name of contributing shareholder	Position (if any)	Related person (if any)	Number of shares	Contributed capital in this Offering (VND)	Percentage (%)	Method of contribution	Contributed asset	Supporting document for capital contribution (*)
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
28	Tran Hoang Anh			23,408,812	234,088,120,000	2.666%	Cash contribution	Cash contribution by bank deposit in the amount of VND 234,088,120,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 234,088,120,000
29	Pham Thi Hang			300,000	3,000,000,000	0.034%	Cash contribution	Cash contribution by bank deposit in the amount of VND 3,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 3,000,000,000
30	Vu Chi Cong			300,000	3,000,000,000	0.034%	Cash contribution	Cash contribution by bank deposit in the amount of VND 3,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 3,000,000,000
31	Nguyen Thi Thu Nga			300,000	3,000,000,000	0.034%	Cash contribution	Cash contribution by bank deposit in the amount of VND 3,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 3,000,000,000
32	Nguyen Thi Tuyet Mai			200,000	2,000,000,000	0.023%	Cash contribution	Cash contribution by bank deposit in the amount of VND 2,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 2,000,000,000

LPBANK SECURITIES JOINT STOCK COMPANY3rd Floor, Office Building, No. 43-45-47 Nguyen Thi Minh Khai Street, Saigon Ward, Ho Chi Minh City, Vietnam**APPENDICES TO THE REPORT ON CONTRIBUTED CHARTER CAPITAL FOR THE PERIOD FROM JANUARY 1, 2016 TO DECEMBER 31, 2025
(CONTINUED)**

No.	Name of contributing shareholder	Position (if any)	Related person (if any)	Number of shares	Contributed capital in this Offering (VND)	Percentage (%)	Method of contribution	Contributed asset	Supporting document for capital contribution (*)
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
33	Nguyen Thi Huong			200,000	2,000,000,000	0.023%	Cash contribution	Cash contribution by bank deposit in the amount of VND 2,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 2,000,000,000
34	Tran Tung Lam			200,000	2,000,000,000	0.023%	Cash contribution	Cash contribution by bank deposit in the amount of VND 2,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 2,000,000,000
35	Vu Minh Tam			300,000	3,000,000,000	0.034%	Cash contribution	Cash contribution by bank deposit in the amount of VND 3,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 15, 2025, showing an amount of VND 3,000,000,000
36	Nguyen Trung Dung			200,000	2,000,000,000	0.023%	Cash contribution	Cash contribution by bank deposit in the amount of VND 2,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 2,000,000,000
37	Nguyen Thanh Ha			200,000	2,000,000,000	0.023%	Cash contribution	Cash contribution by bank deposit in the amount of VND 2,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 2,000,000,000

LPBANK SECURITIES JOINT STOCK COMPANY3rd Floor, Office Building, No. 43-45-47 Nguyen Thi Minh Khai Street, Saigon Ward, Ho Chi Minh City, Vietnam**APPENDICES TO THE REPORT ON CONTRIBUTED CHARTER CAPITAL FOR THE PERIOD FROM JANUARY 1, 2016 TO DECEMBER 31, 2025
(CONTINUED)**

No.	Name of contributing shareholder	Position (if any)	Related person (if any)	Number of shares	Contributed capital in this Offering (VND)	Percentage (%)	Method of contribution	Contributed asset	Supporting document for capital contribution (*)
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
38	Dang Thi Minh Nguyet			200,000	2,000,000,000	0.023%	Cash contribution	Cash contribution by bank deposit in the amount of VND 2,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 2,000,000,000
39	Doan Thao Nguyen			200,000	2,000,000,000	0.023%	Cash contribution	Cash contribution by bank deposit in the amount of VND 2,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 2,000,000,000
40	Vu Nam Huong			200,000	2,000,000,000	0.023%	Cash contribution	Cash contribution by bank deposit in the amount of VND 2,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 13, 2025, showing an amount of VND 2,000,000,000
41	Nguyen Anh Van			200,000	2,000,000,000	0.023%	Cash contribution	Cash contribution by bank deposit in the amount of VND 2,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 2,000,000,000
42	Luu Danh Duc			200,000	2,000,000,000	0.023%	Cash contribution	Cash contribution by bank deposit in the amount of VND 2,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 13, 2025, showing an amount of VND 2,000,000,000.

LPBANK SECURITIES JOINT STOCK COMPANY3rd Floor, Office Building, No. 43-45-47 Nguyen Thi Minh Khai Street, Saigon Ward, Ho Chi Minh City, Vietnam**APPENDICES TO THE REPORT ON CONTRIBUTED CHARTER CAPITAL FOR THE PERIOD FROM JANUARY 1, 2016 TO DECEMBER 31, 2025
(CONTINUED)**

No.	Name of contributing shareholder	Position (if any)	Related person (if any)	Number of shares	Contributed capital in this Offering (VND)	Percentage (%)	Method of contribution	Contributed asset	Supporting document for capital contribution (*)
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
43	Nguyen Hong Nhung			200,000	2,000,000,000	0.023%	Cash contribution	Cash contribution by bank deposit in the amount of VND 2,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 2,000,000,000
44	Nguyen Thi Ly Na			200,000	2,000,000,000	0.023%	Cash contribution	Cash contribution by bank deposit in the amount of VND 2,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 2,000,000,000
45	Tran Duc Dong			200,000	2,000,000,000	0.023%	Cash contribution	Cash contribution by bank deposit in the amount of VND 2,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 2,000,000,000
46	Ngo Duc Thang			200,000	2,000,000,000	0.023%	Cash contribution	Cash contribution by bank deposit in the amount of VND 2,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 2,000,000,000

LPBANK SECURITIES JOINT STOCK COMPANY3rd Floor, Office Building, No. 43-45-47 Nguyen Thi Minh Khai Street, Saigon Ward, Ho Chi Minh City, Vietnam**APPENDICES TO THE REPORT ON CONTRIBUTED CHARTER CAPITAL FOR THE PERIOD FROM JANUARY 1, 2016 TO DECEMBER 31, 2025
(CONTINUED)**

No.	Name of contributing shareholder	Position (if any)	Related person (if any)	Number of shares	Contributed capital in this Offering (VND)	Percentage (%)	Method of contribution	Contributed asset	Supporting document for capital contribution (*)
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
47	Tran Thi Thu Trang			100,000	1,000,000,000	0.011%	Cash contribution	Cash contribution by bank deposit in the amount of VND 1,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 1,000,000,000
48	Nguyen Thi Thanh Huyen			100,000	1,000,000,000	0.011%	Cash contribution	Cash contribution by bank deposit in the amount of VND 1,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 1,000,000,000
49	Tong Duc Hau		Tran Thi Thu Huong	300,000	3,000,000,000	0.034%	Cash contribution	Cash contribution by bank deposit in the amount of VND 3,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 3,000,000,000
50	Nguyen Thanh Trung			200,000	2,000,000,000	0.023%	Cash contribution	Cash contribution by bank deposit in the amount of VND 2,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 2,000,000,000

LPBANK SECURITIES JOINT STOCK COMPANY3rd Floor, Office Building, No. 43-45-47 Nguyen Thi Minh Khai Street, Saigon Ward, Ho Chi Minh City, Vietnam**APPENDICES TO THE REPORT ON CONTRIBUTED CHARTER CAPITAL FOR THE PERIOD FROM JANUARY 1, 2016 TO DECEMBER 31, 2025
(CONTINUED)**

No.	Name of contributing shareholder	Position (if any)	Related person (if any)	Number of shares	Contributed capital in this Offering (VND)	Percentage (%)	Method of contribution	Contributed asset	Supporting document for capital contribution (*)
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
51	Truong Thi Ngoc Bich			100,000	1,000,000,000	0.011%	Cash contribution	Cash contribution by bank deposit in the amount of VND 1,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 1,000,000,000
52	Vu Xuan Son			100,000	1,000,000,000	0.011%	Cash contribution	Cash contribution by bank deposit in the amount of VND 1,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 1,000,000,000
53	Nguyen Xuan Tung			100,000	1,000,000,000	0.011%	Cash contribution	Cash contribution by bank deposit in the amount of VND 1,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 1,000,000,000
54	Quach Manh Cuong			100,000	1,000,000,000	0.011%	Cash contribution	Cash contribution by bank deposit in the amount of VND 1,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 1,000,000,000
55	Vu Anh Tu			100,000	1,000,000,000	0.011%	Cash contribution	Cash contribution by bank deposit in the amount of VND 1,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 1,000,000,000

LPBANK SECURITIES JOINT STOCK COMPANY3rd Floor, Office Building, No. 43-45-47 Nguyen Thi Minh Khai Street, Saigon Ward, Ho Chi Minh City, Vietnam**APPENDICES TO THE REPORT ON CONTRIBUTED CHARTER CAPITAL FOR THE PERIOD FROM JANUARY 1, 2016 TO DECEMBER 31, 2025
(CONTINUED)**

No.	Name of contributing shareholder	Position (if any)	Related person (if any)	Number of shares	Contributed capital in this Offering (VND)	Percentage (%)	Method of contribution	Contributed asset	Supporting document for capital contribution (*)
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
56	Tu Thanh Ha			100,000	1,000,000,000	0.011%	Cash contribution	Cash contribution by bank deposit in the amount of VND 1,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 1,000,000,000
57	Le Thi Linh Lan			100,000	1,000,000,000	0.011%	Cash contribution	Cash contribution by bank deposit in the amount of VND 1,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 1,000,000,000
58	Vu Duy Khanh			100,000	1,000,000,000	0.011%	Cash contribution	Cash contribution by bank deposit in the amount of VND 1,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 1,000,000,000
59	Tran Thi Le Na			100,000	1,000,000,000	0.011%	Cash contribution	Cash contribution by bank deposit in the amount of VND 1,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 1,000,000,000

LPBANK SECURITIES JOINT STOCK COMPANY3rd Floor, Office Building, No. 43-45-47 Nguyen Thi Minh Khai Street, Saigon Ward, Ho Chi Minh City, Vietnam**APPENDICES TO THE REPORT ON CONTRIBUTED CHARTER CAPITAL FOR THE PERIOD FROM JANUARY 1, 2016 TO DECEMBER 31, 2025
(CONTINUED)**

No.	Name of contributing shareholder	Position (if any)	Related person (if any)	Number of shares	Contributed capital in this Offering (VND)	Percentage (%)	Method of contribution	Contributed asset	Supporting document for capital contribution (*)
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
60	Tran Tung Anh			100,000	1,000,000,000	0.011%	Cash contribution	Cash contribution by bank deposit in the amount of VND 1,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 1,000,000,000
61	Nguyen Duy Khoa	Chairman of the BOD		500,000	5,000,000,000	0.057%	Cash contribution	Cash contribution by bank deposit in the amount of VND 5,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 5,000,000,000.
62	Nguyen Thi Nga	Chief Executive Director of the Financial Markets Division		200,000	2,000,000,000	0.023%	Cash contribution	Cash contribution by bank deposit in the amount of VND 2,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 2,000,000,000
63	Le Hai Yen			200,000	2,000,000,000	0.023%	Cash contribution	Cash contribution by bank deposit in the amount of VND 2,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 2,000,000,000

LPBANK SECURITIES JOINT STOCK COMPANY3rd Floor, Office Building, No. 43-45-47 Nguyen Thi Minh Khai Street, Saigon Ward, Ho Chi Minh City, Vietnam**APPENDICES TO THE REPORT ON CONTRIBUTED CHARTER CAPITAL FOR THE PERIOD FROM JANUARY 1, 2016 TO DECEMBER 31, 2025
(CONTINUED)**

No.	Name of contributing shareholder	Position (if any)	Related person (if any)	Number of shares	Contributed capital in this Offering (VND)	Percentage (%)	Method of contribution	Contributed asset	Supporting document for capital contribution (*)
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
64	Nguyen Minh Huong	Chief Executive Officer of the Digital Division		200,000	2,000,000,000	0.023%	Cash contribution	Cash contribution by bank deposit in the amount of VND 2,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 2,000,000,000
65	Tran Huy Doan			200,000	2,000,000,000	0.023%	Cash contribution	Cash contribution by bank deposit in the amount of VND 2,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 2,000,000,000
66	Hoang Cong Nguyen Vu	Director of the Operations Division		200,000	2,000,000,000	0.023%	Cash contribution	Cash contribution by bank deposit in the amount of VND 2,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 2,000,000,000
67	Doan Trung Kien	Chief Executive Officer of the Information Technology Division		200,000	2,000,000,000	0.023%	Cash contribution	Cash contribution by bank deposit in the amount of VND 2,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 2,000,000,000

LPBANK SECURITIES JOINT STOCK COMPANY3rd Floor, Office Building, No. 43-45-47 Nguyen Thi Minh Khai Street, Saigon Ward, Ho Chi Minh City, Vietnam**APPENDICES TO THE REPORT ON CONTRIBUTED CHARTER CAPITAL FOR THE PERIOD FROM JANUARY 1, 2016 TO DECEMBER 31, 2025
(CONTINUED)**

No.	Name of contributing shareholder	Position (if any)	Related person (if any)	Number of shares	Contributed capital in this Offering (VND)	Percentage (%)	Method of contribution	Contributed asset	Supporting document for capital contribution (*)
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
68	Vu Xuan Dat			200,000	2,000,000,000	0.023%	Cash contribution	Cash contribution by bank deposit in the amount of VND 2,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 2,000,000,000
69	La Thi Thuy An			200,000	2,000,000,000	0.023%	Cash contribution	Cash contribution by bank deposit in the amount of VND 2,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 2,000,000,000
70	Du Thi Hai Yen	Member of the BOD		200,000	2,000,000,000	0.023%	Cash contribution	Cash contribution by bank deposit in the amount of VND 2,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 2,000,000,000
71	Bui Thai Ly			200,000	2,000,000,000	0.023%	Cash contribution	Cash contribution by bank deposit in the amount of VND 2,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 2,000,000,000
72	Pham Xuan Nam		Pham Thu Hang	200,000	2,000,000,000	0.023%	Cash contribution	Cash contribution by bank deposit in the amount of VND 2,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 2,000,000,000

LPBANK SECURITIES JOINT STOCK COMPANY3rd Floor, Office Building, No. 43-45-47 Nguyen Thi Minh Khai Street, Saigon Ward, Ho Chi Minh City, Vietnam**APPENDICES TO THE REPORT ON CONTRIBUTED CHARTER CAPITAL FOR THE PERIOD FROM JANUARY 1, 2016 TO DECEMBER 31, 2025
(CONTINUED)**

No.	Name of contributing shareholder	Position (if any)	Related person (if any)	Number of shares	Contributed capital in this Offering (VND)	Percentage (%)	Method of contribution	Contributed asset	Supporting document for capital contribution (*)
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
73	Dao Quoc Loi		Vu Thanh Hue	200,000	2,000,000,000	0.023%	Cash contribution	Cash contribution by bank deposit in the amount of VND 2,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 2,000,000,000
74	Ho Anh Thu			200,000	2,000,000,000	0.023%	Cash contribution	Cash contribution by bank deposit in the amount of VND 2,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 2,000,000,000
75	Dang Thi Thu Ha			200,000	2,000,000,000	0.023%	Cash contribution	Cash contribution by bank deposit in the amount of VND 2,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 15, 2025, showing an amount of VND 2,000,000,000
76	Nguyen Chi Kien			200,000	2,000,000,000	0.023%	Cash contribution	Cash contribution by bank deposit in the amount of VND 2,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 2,000,000,000
77	Duong Van Quyet			200,000	2,000,000,000	0.023%	Cash contribution	Cash contribution by bank deposit in the amount of VND 2,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 2,000,000,000

LPBANK SECURITIES JOINT STOCK COMPANY3rd Floor, Office Building, No. 43-45-47 Nguyen Thi Minh Khai Street, Saigon Ward, Ho Chi Minh City, Vietnam**APPENDICES TO THE REPORT ON CONTRIBUTED CHARTER CAPITAL FOR THE PERIOD FROM JANUARY 1, 2016 TO DECEMBER 31, 2025
(CONTINUED)**

No.	Name of contributing shareholder	Position (if any)	Related person (if any)	Number of shares	Contributed capital in this Offering (VND)	Percentage (%)	Method of contribution	Contributed asset	Supporting document for capital contribution (*)
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
78	Nguyen Thi Lan Anh			200,000	2,000,000,000	0.023%	Cash contribution	Cash contribution by bank deposit in the amount of VND 2,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 2,000,000,000
79	Nguyen Thi Hien			200,000	2,000,000,000	0.023%	Cash contribution	Cash contribution by bank deposit in the amount of VND 2,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 2,000,000,000
80	Trinh Van Thiem			200,000	2,000,000,000	0.023%	Cash contribution	Cash contribution by bank deposit in the amount of VND 2,000,000,000	Bank statement issued by Vietnam International Commercial Joint Stock Bank dated October 14, 2025, showing an amount of VND 2,000,000,000
	Total			878,000,000	8,780,000,000,000	100.000%			

(*) Bank statements for Account No. 078608907 at Vietnam International Commercial Joint Stock Bank – Transaction Center, for the period from October 1, 2025 to October 31, 2025.

LPBANK SECURITIES JOINT STOCK COMPANY

3rd Floor, Office Building, No. 43-45-47 Nguyen Thi Minh Khai Street, Saigon Ward, Ho Chi Minh City, Vietnam

**APPENDICES TO THE REPORT ON CONTRIBUTED CHARTER CAPITAL FOR THE PERIOD FROM JANUARY 1, 2016 TO DECEMBER 31, 2025
(CONTINUED)**

Ho Chi Minh City, March 20, 2026

Prepared by
(Signed and full name)

Chief Accountant
(Signed and full name)

General Director
(Signed and Sealed)

LPBANK SECURITIES JOINT STOCK COMPANY LICENSE NO.: 72 – JSC – SAIGON WARD – HO CHI MINH CITY

Tran Nhat Duy

Nguyen Thi Ngan

Hoang Viet Anh

LPBANK SECURITIES JOINT STOCK COMPANY3rd Floor, Office Building, No. 43-45-47 Nguyen Thi Minh Khai Street, Saigon Ward, Ho Chi Minh City, Vietnam**APPENDICES TO THE REPORT ON CONTRIBUTED CHARTER CAPITAL FOR THE PERIOD FROM JANUARY 1, 2016 TO DECEMBER 31, 2025
(CONTINUED)****APPENDIX 05: DETAILS OF THE OWNERS' CONTRIBUTED CAPITAL AS AT DECEMBER 31, 2025, AS REFERRED TO IN NOTE 8 TO THE REPORT ON
CONTRIBUTED CHARTER CAPITAL**

No.	Contributing shareholder	Number of shares	Value of contributed capital (VND)	Percentage (%)
(1)	(2)	(3)	(4)	(5)
1	Vu Thanh Hue	129,509,421	1,295,094,210,000	10.223%
2	Pham Thu Hang	111,553,946	1,115,539,460,000	8.806%
3	Ngo Quyet Tien	94,412,741	944,127,410,000	7.453%
4	Fortune Vietnam Joint Stock Commercial Bank	69,673,990	696,739,900,000	5.500%
5	Do Thi Trang	64,180,221	641,802,210,000	5.066%
6	Nguyen Thi Luyen	62,558,016	625,580,160,000	4.938%
7	Pham Manh Toan	60,277,255	602,772,550,000	4.757%
8	La Ngan Giang Hien	60,277,255	602,772,550,000	4.757%
9	Nguyen Thi Thanh Ha	58,973,963	589,739,630,000	4.654%
10	Tran Quang Truong	58,648,140	586,481,400,000	4.630%
11	Nguyen Thi Minh Anh	58,648,140	586,481,400,000	4.630%
12	Nguyen Thi Bich Ngoc	55,422,492	554,224,920,000	4.374%
13	Nguyen Tuan Hiep	39,017,697	390,176,970,000	3.080%
14	Nguyen Thi Kieu Anh	38,699,287	386,992,870,000	3.054%
15	Ngo Thi Vuong Hau	34,688,671	346,886,710,000	2.737%
16	Kieu Phuong Khoan	32,247,524	322,475,240,000	2.545%
17	Nguyen Van Thang	29,533,769	295,337,690,000	2.330%
18	Tran Anh Tuyet	28,379,176	283,791,760,000	2.240%
19	Nguyen Thi Hang	26,010,293	260,102,930,000	2.053%
20	Tran Hoang Anh	23,408,812	234,088,120,000	1.848%
21	Nguyen Khac Doan	22,108,071	221,080,710,000	1.745%
22	Do Tuan Vu	21,024,121	210,241,210,000	1.660%

LPBANK SECURITIES JOINT STOCK COMPANY3rd Floor, Office Building, No. 43-45-47 Nguyen Thi Minh Khai Street, Saigon Ward, Ho Chi Minh City, Vietnam**APPENDICES TO THE REPORT ON CONTRIBUTED CHARTER CAPITAL FOR THE PERIOD FROM JANUARY 1, 2016 TO DECEMBER 31, 2025
(CONTINUED)**

No.	Contributing shareholder	Number of shares	Value of contributed capital (VND)	Percentage (%)
(1)	(2)	(3)	(4)	(5)
23	Phung Viet Anh	19,508,848	195,088,480,000	1.540%
24	Tran Thi Quynh Phuong	19,348,514	193,485,140,000	1.527%
25	Tran Thi Thu Huong	15,666,961	156,669,610,000	1.237%
26	Hoang Thanh Nguyet	10,317,852	103,178,520,000	0.814%
27	Cao Khanh Linh	7,399,550	73,995,500,000	0.584%
28	Le Trung Hieu	4,204,824	42,048,240,000	0.332%
29	Cao Khanh Huy	1,000,450	10,004,500,000	0.079%
30	Nguyen Duy Khoa	500,000	5,000,000,000	0.039%
31	Pham Thi Hang	300,000	3,000,000,000	0.024%
32	Vu Chi Cong	300,000	3,000,000,000	0.024%
33	Nguyen Thi Thu Nga	300,000	3,000,000,000	0.024%
34	Vu Minh Tam	300,000	3,000,000,000	0.024%
35	Nguyen Thi Tuyet Mai	200,000	2,000,000,000	0.016%
36	Nguyen Thi Huong	200,000	2,000,000,000	0.016%
37	Tran Tung Lam	200,000	2,000,000,000	0.016%
38	Nguyen Trung Dung	200,000	2,000,000,000	0.016%
39	Nguyen Thanh Ha	200,000	2,000,000,000	0.016%
40	Dang Thi Minh Nguyet	200,000	2,000,000,000	0.016%
41	Doan Thao Nguyen	200,000	2,000,000,000	0.016%
42	Vu Nam Huong	200,000	2,000,000,000	0.016%
43	Nguyen Anh Van	200,000	2,000,000,000	0.016%
44	Luu Danh Duc	200,000	2,000,000,000	0.016%
45	Nguyen Hong Nhung	200,000	2,000,000,000	0.016%
46	Nguyen Thi Ly Na	200,000	2,000,000,000	0.016%

LPBANK SECURITIES JOINT STOCK COMPANY3rd Floor, Office Building, No. 43-45-47 Nguyen Thi Minh Khai Street, Saigon Ward, Ho Chi Minh City, Vietnam**APPENDICES TO THE REPORT ON CONTRIBUTED CHARTER CAPITAL FOR THE PERIOD FROM JANUARY 1, 2016 TO DECEMBER 31, 2025
(CONTINUED)**

No.	Contributing shareholder	Number of shares	Value of contributed capital (VND)	Percentage (%)
(1)	(2)	(3)	(4)	(5)
47	Tran Duc Dong	200,000	2,000,000,000	0.016%
48	Ngo Duc Thang	200,000	2,000,000,000	0.016%
49	Nguyen Thanh Trung	200,000	2,000,000,000	0.016%
50	Nguyen Thi Nga	200,000	2,000,000,000	0.016%
51	Le Hai Yen	200,000	2,000,000,000	0.016%
52	Nguyen Minh Huong	200,000	2,000,000,000	0.016%
53	Tran Huy Doan	200,000	2,000,000,000	0.016%
54	Hoang Cong Nguyen Vu	200,000	2,000,000,000	0.016%
55	Doan Trung Kien	200,000	2,000,000,000	0.016%
56	Vu Xuan Dat	200,000	2,000,000,000	0.016%
57	La Thi Thuy An	200,000	2,000,000,000	0.016%
58	Du Thi Hai Yen	200,000	2,000,000,000	0.016%
59	Bui Thai Ly	200,000	2,000,000,000	0.016%
60	Pham Xuan Nam	200,000	2,000,000,000	0.016%
61	Dao Quoc Loi	200,000	2,000,000,000	0.016%
62	Ho Anh Thu	200,000	2,000,000,000	0.016%
63	Dang Thi Thu Ha	200,000	2,000,000,000	0.016%
64	Nguyen Chi Kien	200,000	2,000,000,000	0.016%
65	Duong Van Quyet	200,000	2,000,000,000	0.016%
66	Nguyen Thi Lan Anh	200,000	2,000,000,000	0.016%
67	Nguyen Thi Hien	200,000	2,000,000,000	0.016%
68	Trinh Van Thiem	200,000	2,000,000,000	0.016%
69	Tran Thi Thu Trang	100,000	1,000,000,000	0.008%
70	Nguyen Thi Thanh Huyen	100,000	1,000,000,000	0.008%

LPBANK SECURITIES JOINT STOCK COMPANY3rd Floor, Office Building, No. 43-45-47 Nguyen Thi Minh Khai Street, Saigon Ward, Ho Chi Minh City, Vietnam**APPENDICES TO THE REPORT ON CONTRIBUTED CHARTER CAPITAL FOR THE PERIOD FROM JANUARY 1, 2016 TO DECEMBER 31, 2025
(CONTINUED)**

No.	Contributing shareholder	Number of shares	Value of contributed capital (VND)	Percentage (%)
(1)	(2)	(3)	(4)	(5)
71	Truong Thi Ngoc Bich	100,000	1,000,000,000	0.008%
72	Vu Xuan Son	100,000	1,000,000,000	0.008%
73	Nguyen Xuan Tung	100,000	1,000,000,000	0.008%
74	Quach Manh Cuong	100,000	1,000,000,000	0.008%
75	Vu Anh Tu	100,000	1,000,000,000	0.008%
76	Tu Thanh Ha	100,000	1,000,000,000	0.008%
77	Le Thi Linh Lan	100,000	1,000,000,000	0.008%
78	Vu Duy Khanh	100,000	1,000,000,000	0.008%
79	Tran Thi Le Na	100,000	1,000,000,000	0.008%
80	Tran Tung Anh	100,000	1,000,000,000	0.008%
81	Bui Thi Ngoc Lan	100,000	1,000,000,000	0.008%
82	Mac Dinh Tuan	100,000	1,000,000,000	0.008%
83	Bui Thi Quynh Trang	200,000	2,000,000,000	0.016%
	Total	1,266,800,000	12,668,000,000,000	100.000%

Ho Chi Minh City, March 20, 2026

Prepared by
(Signed and full name)

Chief Accountant
(Signed and full name)

General Director
(Signed and Sealed)

LPBANK SECURITIES JOINT
STOCK COMPANY
LICENSE NO.: 72 – JSC – SAIGON
WARD – HO CHI MINH CITY

Tran Nhat Duy**Nguyen Thi Ngan****Hoang Viet Anh**