



MINISTRY OF FINANCE
STATE SECURITIES COMMISSION
OF VIETNAM

SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

No.: 79 /GPDC-UBCK

Hanoi, 26 June 2026

ADJUSTED LICENSE

Establishment and operation License of securities business

CHAIRMAN OF STATE SECURITIES COMMISSION OF VIETNAM

Pursuant to the Law on Securities No. 54/2019/QH14 as amended and supplemented by Law No. 56/2024/QH15;

Pursuant to Decree No. 155/2020/ND-CP dated 31 December 2020 of the Government regulating in detail the implementation of some articles of the Law on Securities as amended and supplemented by Decree No. 245/2025/ND-CP dated 11 September 2025;

Pursuant to Decision No. 686/QĐ-BTC dated 28 February 2025 of the Minister of Finance regulating the functions, tasks, powers and organizational structure of the State Securities Commission of Vietnam;

Pursuant to the establishment and operation License No. 104/UBCK-GP dated 12 February 2009 issued by the Chairman of State Securities Commission of Vietnam to VIETTRANIMEX Securities Incorporation (now known as LPBank Securities Joint Stock Company);

Pursuant to the dossier for requesting the change of charter capital of LPBank Securities Joint Stock Company;

According to the proposal of Head of Securities business management Board,

DECIDES:

Article 1. To adjust the establishment and operation License No. 104/UBCK-GP dated 12 February 2009 issued by the Chairman of State Securities Commission of Vietnam to LPBank Securities Joint Stock Company with the contents as follows:

Charter capital: VND 14,086,680,000,000 (*fourteen thousand zero hundred eighty-six billion six hundred and eighty million Vietnam dong*s).

Article 2. This adjusted license becomes effective as of the signing date and is an integral part of the establishment and operation License No. 104/UBCK-GP dated 12 February 2009 issued by the Chairman of State Securities Commission of Vietnam to LPBank Securities Joint Stock Company.

Article 3. The adjusted license is made into two (02) originals: 01 original is issued to LPBank Securities Joint Stock Company, 01 original is kept at the State Securities Commission of Vietnam./.

PP. CHAIRMAN

VICE CHAIRMAN

Le Thi Viet Nga

(Signed and sealed)